

VOLUNTARY AUTHORISATION LETTER

To
M/S Cholamandalam Securities Limited
 "Dare House" Extn., 4th floor, No.2 N.S.C. Bose Road
 Chennai – 600001

Date.....

Dear Sir / Madam,

/We have opened a trading account with Cholamandalam Securities Ltd (herein after referred to as CSEC) and further give my/our consent to CSEC for availing/non availing of the below mentioned Voluntary Services provided by CSEC as per the terms and conditions & declaration annexed herewith.

1. I/We wish to opt for Trading through Mobile Apps - Yes / No
2. I/We wish to opt for Internet Trading/wireless technology - Yes / No
3. I/We wish to opt for Electronic Contract Note (ECN) - Yes / No
4. I/We wish opt for Documents Via Electronic Mode (DEM) - Yes / No
5. I/We do not wish to receive Trade confirmation Over Phone - Yes / No
6. I/We wish to opt for SMS services provided by CSEC - Yes / No
7. I/We give consent for sharing my/our trading a/c information - Yes / No
8. I/We give consent for using the same mobile number and email id by our family members as given in point no. 8 of Annexure to this letter - Yes / No
9. I/We give consent for trade in Mutual Fund Service System (MFSS) & BSE STAR MF facility Yes / No
10. I/we do hereby declare that I have not been involved in any terrorist activity and. have not been declared as defaulter - Yes / No
11. I/we hereby declare that I/we do not have mobile no. / E-mail id or I/we do not wish to register my/our mobile no. / e-mail Id. - Yes / No

I/WE CONFIRM HAVING RECEIVED THE FOLLOWING DOCUMENTS BY WAY OF A SEPARATE BOOKLET (Part B of account opening form)

- a. Rights & Obligations
- b. Rights & Obligations of the Beneficial Owner and Depository Participant
- c. Risk Disclosure Document
- d. Guidance Note
- e. Policies and Procedures
- f. Additional Terms & Conditions of Services
- g. Terms and Conditions-Cum-Registration / Modification Form for receiving SMS alerts from CDSL

I / We declare and acknowledge that I/we have received and read the Rights and Obligations document policies and procedures & standard documents and the terms and conditions for availing above stated voluntary services and agree to abide by and be bound by the same and by the Bye Laws as are in force from time to time. I / We declare that the particulars given by me / us in the annexure are true to the best of my knowledge as on the date of making this application. I / We agree and undertake to intimate the CSEC any changes(s) in the details / Particulars mentioned by me / us in the annexure. I / We further agree that any false / misleading information given by me / us or suppression of any material information will render my account liable for termination and suitable action.

I/We further declare and acknowledge that I have read and understood the policies and procedures & standard documents; I understand that is also displayed for Information on designated website of CSEC and shall abide by the same.

I/We further declare I/we have read, understood and agree to the terms & conditions which forms part of **annexure to this letter**.

Yours truly,



Signature of the Client:

Client Code:

ANNEXURE

1 & 2 I/We declare that I/we have read, understood and agree to the terms & conditions given in the Rights and Obligations of Stock Brokers'- Internet & Wireless Technology Based Trading Facility Provided By Stock Brokers To Client.

3 & 4 Contract note and other documents through e-mail & information through sms:

*I/We confirm that my/our registered Mobile No is: _____ and E-Mail Id is _____, I / We acknowledge and agree that non-receipt of bounced mail notification or SMS by CSEC shall amount to delivery of the ECN, DEM, and SMS services at my / our registered contact details. I / We affirm that CSEC shall not be responsible for the non-receipt of the ECN, DEM, SMS services due to any change in my / our email address and mobile number and if the same is not intimated by me/us to CSEC and that CSEC shall not take cognizance of out-of-office / out-of-station auto replies and I / We shall be deemed to have received such ECN, DEM, SMS & Other voluntary services stated above.

I / We hereby affirm that CSEC do not / does not require and shall not send hard copies of the Contract Notes & Other Statements at my / our designated address. I/We hereby agree that any change in e mail ID revocation ECN, DEM & SMS request shall be communicated by me/us through a physical letter or through Registered E-Mail to CSEC.

I / We undertake that it shall be my / our sole responsibility to verify the contents of the ECN, DEM & SMS services. If there is any discrepancy, I / We shall inform CSEC of the same within 48 hours of the receipt of the ECN, DEM & SMS by replying to the **email customercarewm@chola.murugappa.com**.

I / We confirm and agree that I / We will acknowledge the ECN, DEM within 48 hours of the receipt. In case I / We do not / does not acknowledge the ECN, DEM by sending a reply mail within the stipulated time provided by CSEC, the same shall be deemed to have been acknowledged. I/We confirm that I can revoke above stated facility any time in writing to you or request through registered E-Mail.

5. Trade confirmation:

I hereby confirm that I do not wish to receive trade confirmations from your office through phone with regard to all my transactions put through Cholamandalam Securities Limited with immediate effect. I further confirm that sending physical contract notes/electronic contract notes to my registered address/e-mail address would suffice.

The above instruction shall be valid until further written advice from the undersigned.

6 & 7. Consent letter for sharing information and sms alerts

- a. I/We hereby agree and consent for the disclosure by the Member to any person or entity including but not limited to any independent third parties or any entities of the Member Group, whether within or outside India, of any information and data relating to me/us or relating to my/our trading account with Member for the purposes of or in connection with, any present or proposed initiatives, including but not limited to any marketing or cross sell initiatives, business proposals, activities, facilities or services availed, by me/us in future.
- b. I/We agree and permit the Member to provide intimations and communications, as its own discretion, including but not limited to outstanding debit recovery intimations, trade confirmations, margin and maintenance calls, (collectively "alerts") through the SMS alert facility on the mobile phone number which belongs to me/us as provided in the Account Opening Form or communicated otherwise. The information sent as an alert on the mobile phone number shall be deemed to have been received by me/us and the Member shall not be under any obligation to confirm the authenticity of the person(s) receiving the alert. The Member will make best efforts to provide the service and I/We would not hold the Member liable for non - availability o the service in any manner whatsoever.
- c. I/we confirm you that I can revoke the above mentioned consent anytime in writing to you.

8. Declaration for using the same mobile number and email id

*I/We _____ hereby declare that our family members have already given the same Mobile number _____ & E-mail id _____ for their following trading & DP accounts.

I/We agree to use the same mobile number/email id for my/our trading and DP account.

I/We hereby confirm that I/We got the consent from the owner of the mobile number and email id for using his/her contact details in my/our trading account. in case client has not filled the settlement period the funds/securities will be settled on Quarterly basis

I/We hereby agree to indemnify you against any transactional & financial disputes arising consequent to using the same mobile number and email id.

Trading Code	DP Code	Name	Relationship

I/we undertake to inform you of any changes therein, immediately in writing to you.

9. Mutual fund service system (MFSS) facility/ BSE Star MF Facility:

I/We _____ am/are registered as your client with Client Code No. _____ and have executed the Trading Member and Client Agreement for the purpose of trading in the Capital Market segment of National Stock Exchange of India Ltd & Bombay Stock Exchange Ltd. (Exchange).

I/We am/are interested in availing the MFSS facility of the Exchange for the purpose of dealing in the units of Mutual Funds Schemes permitted to be dealt with on the MFSS & BSE StAR MF of the Exchange.

For the purpose of availing the MFSS facility, I/we state that Know Your Client details as submitted by me/us for the stock broking may be considered for the purpose of MFSS & BSE StAR MF and I/we further confirm that the details contained in same remain unchanged as on date.

I/We are willing to abide by the terms and conditions as mentioned in the Circular dated December 2, 2009 and as may be specified by the Exchange from time to time in this regard.

I/We shall ensure also compliance with the requirements as may be specified from time to time by Securities and Exchange Board of India and Association of Mutual Funds of India (AMFI).

I/We shall read and understand the contents of the of the Scheme Information Document and Key Information Memorandum, addenda issued regarding each Mutual Fund Schemes with respect to which I/we choose to subscribe/redeem. I/We further agree to abide by the terms and conditions, rules and regulations of the Mutual Fund Schemes.

I/We therefore request you to register me/us as your client for participating in the MFSS & BSE STAR MF.

10. Client Defaulter Declaration

I/we do hereby declare that I have not been involved in any terrorist activity and I have not been declared as defaulter or my name is not appearing in defaulter database as per SEBI/ Various Exchanges/ Regulatory bodies/CIBIL (Credit Information Bureau of India Ltd.) etc.

I further declare that the above mentioned declaration/statement is true and correct.