

**Know Your Client (KYC)****Application Form (For Individuals Only)**

Please fill the form in ENGLISH and in BLOCK letters

Fields marked \* are mandatory

Fields marked \* are pertaining to CKYC and mandatory only if processing CKYC also

**CDSL VENTURES LIMITED**

...Exploring New Horizons



Application Number: \_\_\_\_\_

Application Type\*:  New KYC  Modification KYC**KYC Mode\*:** Please Tick (✓) Normal  EKYC OTP  EKYC Biometric  Online KYC  Offline EKYC  Digilocker**1. Identity Details** (please refer guidelines overleaf)

PAN\* \_\_\_\_\_ Please enclose a duly attested copy of your PAN Card

Name\* (same as ID proof) \_\_\_\_\_

Maiden Name\* (if any) \_\_\_\_\_

Fathers/Spouse's Name\* \_\_\_\_\_

Date of Birth\* \_\_\_\_\_

Gender\*  Male  Female  TransgenderMarital Status\*  Single  MarriedNationality\*  Indian  Other \_\_\_\_\_Residential Status\*  Resident Individual  Non Resident IndianPlease Tick (✓)  Foreign National  Person of Indian Origin+

(Passport mandatory for NRIs and Foreign Nationals. PIO selection is only for CKYC and not for KRA KYC. Select NRI or Foreign National based on Nationality of the individual)



Cross Signature across photograph

Proof of Identity (POI) submitted for PAN exempted cases (Please tick)

 A - Aadhaar Card \_\_\_\_\_ (Expiry Date) \_\_\_\_\_ B - Passport Number \_\_\_\_\_ C - Voter ID Card \_\_\_\_\_ (Expiry Date) \_\_\_\_\_ D - Driving License \_\_\_\_\_ E - NREGA Job Card \_\_\_\_\_ F - NPR \_\_\_\_\_ Z - Others \_\_\_\_\_ (any document notified by Central Government)

Identification Number \_\_\_\_\_

**2. Address Details\*** (please refer guidelines overleaf)**A. Correspondence/ Local Address\***

Line 1\* \_\_\_\_\_

Line 2 \_\_\_\_\_

Line 3 \_\_\_\_\_

City/Town/Village\* \_\_\_\_\_ District\* \_\_\_\_\_ Pin Code\* \_\_\_\_\_

State\* \_\_\_\_\_ Country\* \_\_\_\_\_

Address Type\*  Residential/Business  Residential  Business  Registered Office  Unspecified

Applicant e-SIGN

**B. Permanent residence address of applicant, if different from above A / Overseas Address\* (Mandatory for NRI Applicant)**

Line 1\* \_\_\_\_\_

Line 2 \_\_\_\_\_

Line3 \_\_\_\_\_

City/  
Town/Village\* \_\_\_\_\_ District\* \_\_\_\_\_ Pin Code\* \_\_\_\_\_

State\* \_\_\_\_\_ Country\* \_\_\_\_\_

Address Type\*  Residential/Business  Residential  Business  Registered Office  Unspecified

**Proof of Address\*** (attested copy of any 1 POA for correspondence and permanent address each to be submitted)

A - Aadhaar Card \_\_\_\_\_

B - Passport Number \_\_\_\_\_ (Expiry Date) \_\_\_\_\_

C - Voter ID Card \_\_\_\_\_

D - Driving License \_\_\_\_\_ (Expiry Date) \_\_\_\_\_

E - NREGA Job Card \_\_\_\_\_

F - NPR Letter \_\_\_\_\_

Z - Others \_\_\_\_\_ (any document notified by Central Government)

Identification Number \_\_\_\_\_

**3. Contact Details (in CAPITAL)**

Email ID\* \_\_\_\_\_

Mobile No. \* \_\_\_\_\_

Tel (off) \_\_\_\_\_ Tel (Res) \_\_\_\_\_

**4. Applicant Declaration**

<p>I/We hereby declare that the KYC details furnished by me are true and correct to the best of my/our knowledge and belief and I/we under-take to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/We are aware that I/We may be held liable for it.</p> <p>I/We hereby consent to receiving information from CVL KRA through SMS/Email on the above registered number/Email address.</p> <p>I am/We are also aware that for Aadhaar OVD based KYC, my KYC request shall be validated against Aadhaar details. I/We hereby consent to sharing my/our masked Aadhaar card with readable QR code or my Aadhaar XML/Digilocker XML file, along with passcode and as applicable, with KRA and other Intermediaries with whom I have a business relationship for KYC purposes only.</p> <p>DATE: _____ (DD-MM-YYYY)</p> <p>PLACE: _____</p>	Applicant e-SIGN	Applicant Wet Signature

**5. For Office Use Only**

In-Person Verification (IPV) carried out by*	Intermediary Details*
<p>IPV Date _____</p> <p>Emp. Name _____</p> <p>Emp. Code _____</p> <p>Emp. Designation _____</p>	<p><input type="checkbox"/> Self certified document copies received (OVD)</p> <p><input type="checkbox"/> True Copies of documents received (Attested)</p> <p>AMC / Intermediary Name : <b>Cholamandalam Securities Limited</b></p> <div style="border: 1px solid black; height: 20px; width: 100%;"></div>
<p>Digitally signed by DS CHOLAMANDALAM SECURITIES LTD 1</p> <p>Date: _____</p> <p>Reason: _____</p> <p>Location: _____</p> <p style="text-align: center; font-size: small;">Employee Signature and Stamp</p>	 <p style="text-align: center; font-size: small;">Institution Name and Stamp</p>

Proof of Identity / PAN (mandatory)

Proof of Address (mandatory)

Applicant Wet Signature Page (mandatory)

Application No. \_\_\_\_\_



**Chola Securities  
aspires to be your  
trusted partner in  
providing Wealth  
solutions and  
Growing  
Relationships.**

***Let's Grow Together***

Client Name : \_\_\_\_\_

Trading Code : \_\_\_\_\_ DP Client ID: \_\_\_\_\_

Branch Code : \_\_\_\_\_ Branch/APName: \_\_\_\_\_

RM Code : \_\_\_\_\_ RM Name: \_\_\_\_\_

Verified by Code : \_\_\_\_\_ Name: \_\_\_\_\_

Account Type :  Trading & DP /  Only DP

Trading Type :  Online /  Offline /  Both Online & Offline

**CDSL**

**Cholamandam Securities Limited**

AMFI REGISTRATION NUMBER : ARN-52825 & CORPORATE AGENT REGISTRATION CODE : CA0618

Registered office : Dare House, No.2, N S C Bose Road, Parrys, Chennai 600 001 | Tel - 91 44 4004 7370

SEBI Registration No.: INZ000168236 (BSE - Cash Segment and NSE - Cash/F & O Segment)

SEBI Registration No.: IN-DP-556-2021 (NSDL & CDSL)

**Cholamandalam Securities Limited**  
**Online Account Opening Form - Individual**

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Sr.No.	Name of the Document	Brief Significance of the Document	Page No.
<b>MANDATORY FORM AS PRESCRIBED BY SEBI &amp; EXCHANGES</b>			
1.	Account Opening Form	A. KYC form - CKYC Document capturing the basic information about the constituent and instruction/check list for filling form.	1-2
		B. Document capturing the additional information about the constituent relevant to Trading account/Demat A/c.	3-4
		C. Standing Instruction	5
2.	Tariff sheet	Document detailing the rate/amount of brokerage and other charges levied on the client for trading on the stock exchange(s).	6
3.	Depository Service Charges	Document detailing DP services charges/Fee Structure for the Demat Account with Cholamandalam Securities Ltd.	7
4.	Nomination / Declaration	Nomination / Declaration	8
6a.	Rights & Obligations	RIGHTS AND OBLIGATIONS OF STOCK BROKERS, SUB-BROKERS AND CLIENTS (As Prescribed By SEBI And Stock Exchanges)	Given as separate annexure
6b.	Rights and Obligations- Internet & wireless technology based trading	Document stating the Rights & Obligations of stock broker/trading member, client for trading on exchanges (including additional rights & obligations in case of internet/wireless technology based trading).	
7.	Risk Disclosure Document	Document detailing risks associated with dealing in the securities market.	
8.	RIGHTS AND OBLIGATIONS DOCUMENT FOR SLBS	RIGHTS AND OBLIGATIONS DOCUMENT FOR SLBS	
9.	Guidance note	Document detailing do's and don'ts for trading on exchange, for the education of the investors.	
10.	Policies and Procedures	Document describing significant policies and procedures of our company.	
11.	Rights & Obligations	Documents stating the rights & obligations of Beneficial owner and Depository Participant.	
<b>VOLUNTARY DOCUMENTS</b>			
5.	Demat Debit Pledge Instruction (DDPI)	Transfer of securities towards deliveries, settlement obligations, Pledge, un-pledge, re-pledge, and Tendering shares in open offers.	9
12.	Terms and Conditions for receiving SMS Alerts from CDSL	Prescribed by Depository. Required to receive alerts directly from the Depository for the transactions executed in the demat account.	10
13.	Terms and Conditions of Services	CONFIRMATION OF TERMS AND CONDITIONS GOVERNING SECURITIES TRADING AND BROKING SERVICES OF CHOLAMANDALAM SECURITIES LIMITED	11
14.	Running Account Authorisation	Required for maintaining clients account on a running account basis.	12
15.	Authorisation for Electronic Contract Note / Statements	Required for issuance of Electronic Contract Notes, Statement of Account and other documents on the client's registered e-mail id.	12
16.	Letter of Authority	Required for the purpose of operational efficiency	13
17.	Common E-mail, Mobile Format	Declaration of Common Mail, Mobile in Family Accounts	13
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23.	Client Defaulter Declaration	Client Defaulter Declaration	15
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26.	Client Declaration	Client Declaration	17

<b>Member Name:</b>	Cholamandalam Securities Limited		
<b>SEBI Reg. No. / data:</b>	INZ000168236 dt: 19/03/2018 (BSE - Cash Segment and NSE - Cash/F&O segment) IN-DP-556-2021 dt: 01/02/2021 (NSDL & CDSL) INH200000402 dt: 07/02/2023 (Research Analyst )		
<b>Regd office address:</b>	DARE HOUSE No.2, (Old No.234), NSC Bose Road, Parrys, Chennai - 600 001. India.		
<b>Correspondence address:</b>	DARE HOUSE Extn., 4th floor, 'DARE HOUSE COMPLEX 'No.2 (Old No.234), NSC Bose Road, Parrys, Chennai - 600 001, India.		
<b>Phone Number:</b>	+91 044 4004 7370	<b>Fax:</b>	91 044 4004 7373
<b>Website:</b>	www.cholawealthdirect.com		
<b>A. Compliance Officer Name:</b>	Mr. H. Balaji		
<b>Email ID</b>	complianceofficercsec@chola.murugappa.com	<b>Contact No.:</b>	(044) 40047226
<b>A. Business Head Name:</b>	Mr. N Senthilkumar Naidu		
<b>Email ID</b>	csehead@chola.murugappa.com	<b>Contact No.:</b>	(044) 40047370
<b>Customer grievance E-Mail Id:</b>	customercarewm@chola.murugappa.com		

For any grievance/dispute please contact Cholamandalam Securities Limited at the above address or email at customercarewm@chola.murugappa.com. In case not satisfied with the response, please contact, for NSE, Ph: 022-2658190, e-mail: ignse@nse.co.in, for BSE, Ph: 022-22721233/34 e-mail: is@bseindia.com

**Filing of complaints on SCORES – Easy & quick**

Register on SCORES portal

Mandatory details for filing complaints on SCORES:

Name, PAN, Address, Mobile Number, Email ID

Benefits:

Effective communication

Speedy redressal of the grievances

**SCORES website** <https://scores.gov.in/scores/Welcome.html>

ACCOUNT OPENING FORM

1.A. Personal Details

<b>Name*</b>		
<b>Maiden Name (If any*)</b>		
<b>Father/Spouse Name*</b>		
<b>Mother Name*</b>		
<b>Date of Birth*</b>		
<b>Gender*</b>		
<b>Marital Status*</b>		
<b>Citizenship*</b>		
<b>Residential Status*</b>		
<b>Occupation Type*</b>		
<b>PAN*</b>		

1.B. FATCA & CRS Declaration – Individuals

<b>Are you the TAX Resident of any country other than India</b>		
If yes please fill the following:		
Country	Tax Identification Number	Identification Type
		NA
<b>Foreign Address 1</b>		
<b>Foreign City</b>	<b>Foreign State</b>	
<b>Foreign Country</b>	<b>Foreign Pin</b>	
<p>Declaration: I have read and understood the information requirements and the Terms &amp; Conditions mentioned in this Form (read along with FATCA &amp; CRS instructions) and hereby confirm that the information provided by me on this Form is true, correct and complete. I hereby agree and confirm to inform M/s. Cholamandalam Securities Limited for any modification to this information promptly. I further agree to abide by the provisions of the scheme related documents inter alia provisions of FATCA &amp; CRS on Automatic Exchange of Information (AEOI).</p>		
Signature		

1.C. Proof of Identity and Address

<b>Address Type*</b>			
<b>Proof of Address*</b>			
<b>Correspondence Address</b>			
<b>Address Line 1*</b>			
<b>Address Line 2</b>			
<b>Address Line 3</b>			
<b>City/Town/Village*</b>	<b>State*</b>		
<b>Country*</b>	<b>PIN Code*</b>		
<b>Permanent Address</b>			

Address Line 1*			
Address Line 2			
Address Line 3			
City/Town/Village*		State*	
Country*		PIN Code*	

#### 1.D. Contact Details

Tel.(Off.)		Tel.(Res.)	
Mobile No.*		Fax	
Email ID*			

#### 1.E. Remarks if Any

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#### 1.F. Applicant Declaration

- I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it.
- I hereby consent to receiving information from Central KYC Registry through SMS/Email on the above registered number/email address.
- I/We give my consent to share my Aadhar data, KYC details and documents with KRA to validate the KYC information

Date: \_\_\_\_\_ Place: \_\_\_\_\_

#### 1.G. Attestation/For Office use only

Document Received			
	Intermediary/Institution Details	In-person verification (IPV), Documents verified with original & Client interviewed by	
Name		Employee/SB/AP name	
		SEBI Number of AP (If Applicable)	
		Employee ID	Designation
CKYC Institution Code		Signature	
		Date	IPV Method

#### 1.H. Bank Account Details

<b>Primary Bank Details (For DP and Trading)</b>			
Bank Name			
Account No.		Branch	
IFSC		MICR Code	
Address			
Account Type		Pay-Out Option	

#### 1.I. Depository Account Details

I/We already have Demat A/c			
Depository Name			
DP ID		BO ID	
Name of Depository Participant			
Demat Account Proof Submitted			

### 1.J. Trading Preference

Please sign in the relevant boxes where you wish to trade.

Exchange					
	Cash	F&O*	Mutual Fund	SLB	Currency Derivatives*
NSE					
BSE					
*I / we hereby confirm the rational for investing in Currency Segment is for Business / Hedging / Arbitrage					

\*If you wish to trade in derivatives (F&O/Currency) segments, submit any one of the following proof of financial details(Mandatory)

\*I/we understand that trading in Derivative (Future & Options) is risky, and may result in loss of capital.

\*I/we confirm I have gone through and understood the Risk Disclosure documents explaining this

### 1.K. FACILITIES

SMS and E-mail alerts from stock exchanges/depository	
Whether you wish to receive Contract Note & Standard documents in physical or electronic mode	
Standard Documents Rights & Obligations, Risk Disclosure Document, Guidance Note and Policies & Procedures, other terms and conditions	
I/We wish to avail facility of internet trading / wireless technology	

### 1.L. Trading History

Trading Experience / Number of Years of Investment	
Stock Profile	
If you are dealing with any other stock broker, please provide following detail	
Name of the stock broker	
Name of AP (If any)	
Details of disputes / dues pending from / to such stock broker / AP In case dealing with multiple stock brokers, please provide details of all.	
Client Code(UCC)	Exchange
Past Actions Details of any action / proceedings initiated / pending / taken by SEBI / Stock Exchange / any other authority against the applicant / constituent or its partners / promoters / whole time directors / authorized persons in charge of dealing in securities during last 3 years.	

### 1.M. Income, Education & Others Details

Gross Annual Income Detail	
Net-worth in (Rs.)	As on(date)
Occupation	
Educational Details	
Politically Exposed Person/Related to a Politically Exposed Person	

### 1.N. DETAIL OF INTRODUCER

Name	
Registration No. or Code	
PAN	Phone
Address	

1.O. Declaration

- I/We hereby declare that the details furnished above are true and correct to the best of my / our knowledge and belief and I / We undertake to inform you of any changes therein, immediately In case any of the above information is found to be false or true or misleading or misrepresenting, I am / we are aware that I / we may be held liable for it.
- I/We confirm having read/been explained and understood the contents of the document on policy and procedures of the stock broker and the tariff sheet.
- If/We further confirm having read and understood the contents of the 'Rights and Obligations' document(s) and Risk Disclosure Document'. I/We do hereby agree to be bound by such provisions as outlined in these documents. I/We have also been informed that the standard set of documents has been displayed for Information on stock broker's designated website: [www.cholawealthdirect.com](http://www.cholawealthdirect.com).

<b>Signature of Client</b>			
<b>Place</b>		<b>Date</b>	

1.P. Demat Account Opening - CDSL

I/ We request to open a Depository Account in my / our name as per the following details. Date :

1.P.1 Type of Account

Status	Sub-status	UCC Code

1.P.2. Account Holder Details

<b>Name of sole/1st Holder</b>	
<b>PAN</b>	
<b>Name of sole/2nd Holder</b>	
<b>PAN</b>	
<b>Name of sole/3rd Holder</b>	
<b>PAN</b>	
<b>Nationality</b>	

1.P.3 Declaration

- I/We have received and read the Rights & Obligations document and Terms & Conditions and agree to abide by and be bound by the same and by the Bye Laws as are in force from time to time. I / We declare that the particulars given by me / us above are true and to the best of my / our knowledge as on the date of making this application. I / We agree and undertake to intimate the DP any change(s) in the details / Particulars mentioned by me / us in this form. I / We further agree that any false / misleading information given by me / us or suppression of any material information will render my account liable for termination and suitable action.
- I/we acknowledge the receipt of copy of document, "Rights and Obligations of the Beneficial Owner and Depository Participant". The rules and regulations of the Depository and Depository Participants pertaining to an account are in force now have been read by me/us and I/we have understood the same and I/we agree to abide by and to be bound by the rules as are in force from time to time for such accounts. I/we hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting. I am/we are aware that I/we may be held liable for it. In case non-resident account, I/we also declare that I/we have complied and will continue to comply with FEMA regulations.

<b>Client Signature</b>			
	First / Sole Holder	Second Holder	Third Holder
<b>Sign of Client</b>			
<b>Date:</b>		<b>Place:</b>	

## 1.Q. STANDING INSTRUCTION

1. Account to be operated through DDPI (Demat Debit and Pledge Instruction)	
2. I/We instruct the DP to receive each and every credit in my/our account(If not marked,the default option would beYes)	
3. SMS Alert Facility: Mobile No. +91 _____ Mandatory, if you are giving Demat Debit and Pledge Instruction DDPI. If DDPI is not granted & you do not wish to avail of this facility, cancel this option.	
4. Account Statement Requirement	
5. I/We wish to receive all documents viz., Contract Note / Transaction and Holding Statement etc in Electronic mode. Authorisation for sending documents through email id: _____" is being executed.	
6. I/We wish to receive dividend/interest directly in to my/our bank account provided through ECS [ECS is mandatory for locations notified by SEBI from time to time]	
7. I/We wish to register myself in CDSL - easi facility (I/We understand that Easi allows a BO to view ISIN balances, transactions and value of the portfolio online)	
8. I/We wish to avail the facility of Internet Trading / Wireless Technology	
9. Investment/Trading experience:	
10.I/We hereby instruct DP to accept all the pledge instructions in my/our account without any other further instructions	
11. I/We would like to share the email ID with the RTA	
12. Do you wish to receive the notifications through Whatsapp	
13.I/We would like to receive the Annual Report of companies held by me/us. (Tick the appropriate box. If not marked the default option would be in Physical)	
14. I/We require the Delivery Instruction Slip at the time of account opening.	
15. I we wish to opt for Margin Trading Facility offered by Cholamandalam Securities Limited	
Signature of Client	

## 2. Tariff Sheet - Value Added Subscription Plan:

Plan Code		<input type="checkbox"/> VASP 6	<input type="checkbox"/> VASP 4	<input type="checkbox"/> VASP 2
VASP Pack Value		25 K	50 K	1 Lakh
VASP	Amount with GST	29,500	59,000	1,18,000
*Delivery Brokerage Rate %		0.06	0.04	0.02
*Intraday / Futures Brokerage Rate %		0.006	0.004	0.002
Complementary Turnover Limit (CTL)	Delivery	4 Crore	12 Crore	50 Crore
	Intraday	40 Crore	120 Crore	500 Crore
	Futures	40 Crore	120 Crore	500 Crore
	Options *	16.5 Rs / Lot	10 Rs / Lot	5 Rs / Lot
Plan Validity		3 Years	3 Years	3 Years
BOI Charges (Value in Amount)		15000	30000	60000

\*If a client buys 10 lots and then squares it off, the no. of lots considered for brokerage calculation will be 20 lots

I We wish to Opt for \_\_\_\_\_ plan

The Value Added Subscription Plan (VASP) cum Basket of Investment Ideas (BoI), hereinafter referred to as the "Scheme" is a comprehensive plan offered by Cholamandalam Securities Ltd (Company). This Scheme provides clients with various benefits, including low brokerage fees of up to 0.02% on delivery trades, as well as access to a range of investment ideas. The specific details of the Scheme, including charges, terms and conditions, are outlined below. By agreeing to this agreement, the client agrees to comply with the terms & conditions and charges specified herein.

As part of the Scheme, Basket of Investment ideas will be activated. It is a value added service offered by the Company. The scope of services will include customers getting access to various trackers to monitor his portfolio, theme based investment ideas, market updates on a periodical basis.

### Advantages & Services Offered

- Brokerage as Low as 0.02% on delivery transaction
- Save on GST cost as brokerage is minimal
- Call & Trade Assistance with Dedicated Advisory services
- VASP plan helps to reduce costs and maximise returns
- No auto renewal, the plan can be renewed only with client consent
- Access to research reports and daily calls through Web, App & sms
- Basket of Stocks service under research coverage.

### Other information

- Access to research reports and daily calls through Web, App & SMS

### Undertaking

I/We wish to avail Scheme offered by Cholamandalam Securities Limited (CSEC) as stated above. I/We have understood the plan and the terms and conditions as mentioned overleaf.

I/We hereby understand that the Subscription amount is Non-Refundable and on completion of the validity of the plan/ utilization of Complementary Turnover Limit (CTL), existing or standard brokerage plan will be applied as per client category.

I/We hereby authorize Cholamandalam Securities Limited to debit a sum of \_\_\_\_\_ (amount inclusive of GST) from my trading account towards the Scheme subscription plan.

I/we will not dispute the Scheme at any time in future as the same has been clearly explained to me and I clearly understand the entire terms and offerings.

I/we have not opted the said Scheme under any undue influence, coercion or threat from whomsoever it may concern; and

I/we am/are aware that I/we shall not hold Cholamandalam Securities Limited (CSEC) and its affiliates, employees and officers liable for any dispute relating to Scheme obtained by me/us.

### Product Terms & Conditions

1. During the validity period of the subscribed plan CTL calculation is as mentioned below:
2. After the completion of Validity period/utilization of CTL limits, plan will not be auto renewed.
3. Any transaction value exceeding mentioned CTL limits will need adequate Value Subscription Plan to be subscribed and activated else brokerage will be levied as per existing / standard Tariff plan on the amount exceeding CTL limit.

**Standard Tariff charges:**

Brokerage Type	Brokerage Rate	Minimum
Delivery	0.50%	5 Paise
Intraday	0.05%	5 Paise
Futures	0.05%	2 Paise
Options	Rs.100/- per lot	N/A

\*Subject to Maximum of 2.5%

The schedule of charges is also available on: [www.cholawealthdirect.com](http://www.cholawealthdirect.com)

4. By availing and activation of the Scheme, client is additionally entitled to receive onetime access to BOI. The utilization of BOI is entirely at the discretion of client.
  5. By availing the Scheme, the BOI subscription amount will be completely utilized. However, the VASP for brokerage will continue to be in force till the validity of plan or Utilization of assigned CTL whichever is earlier.
  6. By availing this Scheme, client agrees that amount of this subscription will be debited and utilized towards CTL and BOI services. The client will be utilizing VASP amount towards CTL utilization and BOI service amount once at the time of activation and receive BOI services as one time towards subscribed value.
  7. The client understands and agrees that in no circumstances whatsoever, client can claim interest from CSEC on the subscription amounts. The client further understands and agrees that no trading limit/exposure shall be provided to the client on the subscription amount.
  8. During the validity period of the subscribed plan, Delivery (Including Cash), Intraday, Equity Futures (including Intraday futures), Options trades will be charged as per the above rate table until the client's cumulative trades reach the relevant CTL / plan expiry.
  9. The plan will expire if the complementary Turnover Limit (CTL) is reached in any one of the segments.
  10. The validity period of each plan will commence from the date of activation of the scheme after receipt this Terms and conditions duly signed by client and realisation of the subscription amount.
  11. The above plans are subject to regulatory norms in force from time to time and may be discontinued under any regulatory directions or for any reason without giving prior intimation to clients. In the event of such discontinuation, the subscription amount will be refunded on pro rata basis without interest.
  12. Based on the plan chosen by me/us and upon successful remittance of the subscription amount, the brokerage rates pertaining to such plan shall be applicable to my/our trading account with Cholamandalam Securities Ltd. The Brokerage rates shall be valid for the tenure as applicable to the plan chosen by me/us starting from the date of activation as communicated by Cholamandalam Securities Ltd.
  13. All statutory /regulatory levies and other charges including but not limited to Securities Transaction Tax, SEBI Turnover Fees, Exchange Transaction Charges, Stamp Duty, and GST etc. shall be charged separately over and above the brokerage rate. In case there are any other charges levied by the Exchange or any other authority on account of any violation done by the client, the same shall be duly charged to the client.
  14. If client migrates to another brokerage scheme at any point of time, then the existing subscription stands forfeited for the remaining days of the scheme and all benefits will be withdrawn and the client cannot claim any refund under any circumstance.
  15. The Client agrees that CSEC shall not be held responsible for non-execution / rejection of the orders from the Exchange end for any reason including trading is stopped for the whole market or for a specific securities or if the securities price touching circuit filters or for any other reason beyond the control of CSEC.
  16. The Company reserves the right to modify the amount of brokerage, charges from time to time by displaying the same on the Company's website AND /OR over registered mail id AND/OR over SMS on registered mobile no and the customer agrees to keep himself updated in respect thereof.
  17. Jurisdiction
- All disputes, differences and/or claims arising out of this Agreement whether during its subsistence or there after shall be shall be construed in accordance with the laws of India and shall be subject to the exclusive jurisdiction of the competent courts in Chennai only.

I have understood and accept terms & conditions mentioned above.

**Client Name:**

**Client Signature:**

2.A. Broking Tariff Sheet

<b>Brokerage Scheme Code</b>	Standard
<b>Margin Amount</b>	
<b>Account Opening Charges</b>	
<b>DDPI Charges</b>	
<b>Segment</b>	<b>Brokerage Plan</b>
<b>Equity Cash - Intraday</b>	
<b>Equity Cash-Delivery</b>	
<b>F&amp;O -Future</b>	
<b>F&amp;O -Option</b>	
<b>Currency -Future</b>	
<b>Currency -Option</b>	
<b>Mutual Fund</b>	

\*Subject to a maximum of 2.5% of the total transaction value as per SEBI Norms

**Terms & Conditions:**

1. Brokerage rates indicated above are exclusive of all statutory and exchange related charges
2. Derivatives Clearing Charges : Futures – 0.00015% and Options – 0.003%
3. GST at applicable rates will be levied on Brokerage, Transaction Charges, Clearing Charges, KRA, Account opening Charges, Beneficiary, Inter-settlement Charges and document delivery charges
4. F&O penalty would be charged as levied by the exchange
5. Stamp duty charges would vary from state to state
6. Delayed payment charges up to 24% per annum will be levied on bills outstanding after due date
7. Beneficiary Charges (On transfer of shares from dues beneficiary for sale )- Up to Rs.30 or 0.04% per ISIN whichever is higher
8. Inter settlement Charges (On transfer of shares from one settlement to another)- Up to Rs.30 or 0.04% per ISIN whichever is higher
9. Cheque bounce charges would be levied at Rs.500/- per instance
10. Additional services (if any) availed by clients would be charged separately
11. The above charges will not be refunded. The Company in its sole discretion may waive charges either in part or in full.
12. If the total brokerage as per agreed rate in the tariff sheet above is less than Rs.25/- per day / per exchange / Per Segment, then minimum brokerage of Rs.25/- or 2.5% of the total transaction value (exclusive of GST and statutory levies), whichever is less will be charged per day / per exchange/ per segment (for Both Buy & Sell).
13. Where the value of scrip is Rs.10/- per share or less and if the total brokerage as per agreed rate in the tariff sheet above is less than Rs. 25/- per day / per exchange / per segment, minimum brokerage of Rs. 25/- per day / per exchange / per segment will be charged, subject to the ceiling of 25 paise per share (for Both Buy & Sell).
14. Lending / Borrowing fee will be charged at a maximum of 20 % on lending price plus exchange transaction charges at actuals
15. Stamp Duty / SEBI turnover fees & other statutory charges will be levied as and when applicable as per the exchange guidelines
16. Dp Transaction charges for lending transaction will be as per DP tariff
17. Account opening charges would be charged at Rs. 500/- for resident account, Rs.1000/- for NRI and Body Corporate account including GST
18. Modification charges would be charged at Rs. 25/- per request

**The Company reserves the right to revise brokerage structure & other statutory charges as applicable under respective laws by display of the same on website/direct communication.**

**The schedule of charges is also available on: [www.cholawealthdirect.com](http://www.cholawealthdirect.com)**

**Client Signature**

	First / Sole Holder	Second Holder	Third Holder
Sign of Client			

2.B. Demat Scheme (Refer below given tariff sheet for list of charges)

<b>DP Scheme Name</b>	
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**Client Signature**

	First / Sole Holder	Second Holder	Third Holder
Sign of Client			

With Effect from: 01/07/2023

TARIFF FOR DEPOSITORY SERVICES	<input type="checkbox"/> Normal Scheme - AMC	<input type="checkbox"/> DP Scheme - 5 Years Plan
DESCRIPTION	AMOUNT	AMOUNT
<b>Annual Maintenance Charges (AMC)</b>		
<b>Individuals</b>	Rs.150/- per quarter	NIL
<b>Corporate</b>	Rs.1000/- per annum	Not Applicable
DP Scheme - 5 Years Plan		Rs.1500/-
<b>Dematerialization</b>	Rs.150/- per certificate + Rs.50/- as Courier Charges	Rs.150/- per certificate + Rs.50/- as Courier Charges
<b>Re-materialization</b>	Rs.20/-for every 100 securities or part thereof	Rs.20/-for every 100 securities or part thereof
<b>DIS Booklet</b>	Rs.100 per Booklet + Rs.50 Courier Charges	Rs.100 per Booklet + Rs.50 Courier Charges
<b>Transaction - Market / Off Market ( All type of Instruments)</b>		
<b>Sale</b>	Rs.30/- or 0.04% Per ISIN whichever is higher	Rs.30/- or 0.04% Per ISIN whichever is higher
<b>Buy</b>	NIL	NIL
<b>Inter-Depository Transaction Market / Off Market (All type of Instruments)</b>		
<b>Sale</b>	Rs.30/- or 0.04% Per ISIN whichever is higher	Rs.30/- or 0.04% Per ISIN whichever is higher
<b>Buy</b>	NIL	NIL
<b>Modifications (other than change of mobile number &amp; email address)</b>	Rs.25/-	Rs.25/-
<b>Failed Instructions</b>	Rs.30/- PerISIN	Rs.30/- Per ISIN
<b>Pledge</b>		
<b>Creation</b>	Rs.50/- per ISIN or 0.04% whichever is higher	Rs.50/- Per ISIN or 0.04% whichever is higher
<b>Creation Confirmation</b>	Rs.50/- per ISIN or 0.04% whichever is higher	Rs.50/- per ISIN or 0.04% whichever is higher
<b>Closure</b>	Rs.50/- per ISIN or 0.04% whichever is higher	Rs.50/- per ISIN or 0.04% whichever is higher
<b>Closure Confirmation</b>	Rs.50/- per ISIN or 0.04% whichever is higher	Rs.50/- per ISIN or 0.04% whichever is higher
<b>Invocation</b>	Rs.50/- per ISIN or 0.04% whichever is higher	Rs.50/- per ISIN or 0.04% whichever is higher
<b>Margin Pledge</b>	Rs.30/- Per ISIN	Rs.30/- Per ISIN
<b>Margin Pledge confirmation</b>	Rs.30/- Per ISIN	Rs.30/- Per ISIN
<b>Non Disposal Undertakings / Agreement ( NDU)</b>	Rs.50/- per ISIN or 0.04% whichever is higher	Rs.50/- per ISIN or 0.04% whichever is higher

**Terms & Conditions**

- 1.Csec reserves the right to revise the charges from time to time by giving 30 days notice and this Terms & Conditions shall also part and parcel of depository participant agreement / **account opening form**
- 2.All charges indicated above are excluding GST and other applicable charges
- 3.DP Scheme - 5 years AMC will be expired automatically at the end of the scheme term and the scheme can be renewed by the client subject to the discretion of the Depository Participant. In case client close the account within 5 years, normal AMC will be applicable to the client.
4. SMS Alert facility is mandatory for depository accounts operated by Demat Debit and Pledge Instruction ( DDPI)
5. Electronic Clearing Service (ECS) Mandate is compulsory for the Normal scheme
6. Quarterly Statement of Transactions will not be sent for those clients with no transaction/nil holding for the quarter as per NSDL/CDSL notification.
7. DIS book issue charges: Initial booklet free and charges applicable from subsequent request onwards.
- 8.I/We have read and understood the "Schedule of Charges" prescribed above and agreed to abide by the same

I/We wish to opt for \_\_\_\_\_

Client Signature			
	First / Sole Holder	Second Holder	Third Holder
Sign of Client			

## 4. Nomination Form

Cholamandalam Securities Limited Dare House, No 2 NSC Bose Road, Parrys, Chennai - 600 001										<b>FORM FOR NOMINATION</b> (To be filled in by individual applying singly or jointly)																			
Date					DP ID					Client ID																			
<b>UCC ID:</b>																													
I/We wish to make a nomination. [As per details given below]																													
<b>Nomination Details</b>																													
I/We wish to make a nomination and do hereby nominate the following person(s) who shall receive all the assets held in my / our account in the event of my / our death.																													
<b>Nomination can be made upto three nominees in the account.</b>										<b>Details of 1<sup>st</sup> Nominee</b>					<b>Details of 2<sup>nd</sup> Nominee</b>					<b>Details of 3<sup>rd</sup> Nominee</b>									
1	<b>Name of the nominee(s) (Mr./Ms.)</b>																												
2	<b>Share of each Nominee</b>									Equally [If not equally, please specify percentage]					%					%					%				
										<b>Any odd lot after division shall be transferred to the first nominee mentioned in the form.</b>																			
3	<b>Relationship With the Applicant ( If Any)</b>																												
4	Address of Nominee(s) City / Place: State & Country:																												
										PIN Code																			
5	Mobile / Telephone No. of nominee(s)																												
6	Email ID of nominee(s)																												
7 (A)	Nominee Proof of Identity Details																												
7 (B)	Proof of Identity Number																												
<b>Sr. Nos. 8-14 should be filled only if nominee(s) is a minor:</b>																													
8	Date of Birth {in case of minor nominee(s)}																												
9	Name of Guardian (Mr./Ms.) {in case of minor nominee(s)}																												
10	Address of Guardian(s)																												
										Address 1 of Guardian(s)																			
										City / Place: State & Country:																			
										PIN Code																			
11	Mobile / Telephone no. of Guardian																												
12	Email ID of Guardian																												
13	Relationship of Guardian with nominee																												
14 (A)	Guardian Proof of Identity Details																												
14 (B)	Proof of Identity Details																												
										Name(s) of holder(s)										Signature(s) of holder*									
Sole / First Holder (Mr./Ms.)																													
Second Holder (Mr./Ms.)																													
Third Holder (Mr./Ms.)																													

\* Signature of witness, along with name and address are required, if the account holder affixes thumb impression, instead of sign  
Note: This nomination shall supersede any prior nomination made by the account holder(s), if any.  
The Trading Member / Depository Participant shall provide acknowledgement of the nomination form to the account holder(s)

4.A. Declaration form for opting out Nomination

To	Date	D	D	M	M	Y	Y	Y	Y
M/s. Cholamandalam Securities Limited "Dare House", No.2 N.S.C Bose Road Chennai-600001									
UCC ID									
DP ID									
Client ID (only for Demat account)									
Sole/First Holder Name									
Second Holder Name									
Third Holder Name									
I / We hereby confirm that I / We do not wish to appoint any nominee(s) in my / our trading / demat account and understand the issues involved in non-appointment of nominee(s) and further are aware that in case of death of all the account holder(s), my / our legal heirs would need to submit all the requisite documents / information for claiming of assets held in my / our trading / demat account, which may also include documents issued by Court or other such competent authority, based on the value of assets held in the trading / demat account.									

Client Signature			
	First / Sole Holder	Second Holder	Third Holder
Sign of Client			

\* Signature of witness, along with name and address are required, if the account holder affixes thumb impression, instead of signature

5. Demat Debit and Pledge Instruction

**Note : "Execution of DDPI is not mandatory"**

Date:

DP Client ID \_\_\_\_\_

I/WE. \_\_\_\_\_

residing at \_\_\_\_\_

hold a Beneficiary account no. \_\_\_\_\_

\_\_\_\_\_ (BO-ID) with NSDL / CDSL  
through M/s. CHOLAMANDALAM SECURITIES LIMITED

( Depository Participant) Bearing DP-ID 48800 / IN300572.

I / WE THE ABOVE NAMED DO HEREBY explicitly agree to authorize M/S. Cholamandalam Securities Ltd ( Clearing Member) to access my/Our BO account for the limited purpose of meeting pay-in obligations for settlement of trades executed and for the purpose of meeting margin requirements in connection with the trades executed on the Stock Exchanges.

S.No.	Purpose	Signature of Client *
1.	Transfer of securities held in the beneficial owner accounts of the client towards Stock Exchange related deliveries / settlement obligations arising out of trades executed by clients on the Stock Exchange through the same stock broker M/s. Cholamandalam Securities Limited (Clearing Member) bearing CM-BP-ID IN553880(NSE Pool a/c), IN602374 (BSE Pool a/c) with (NSDL Depository ), NSE pool a/c 1204880000000037, BSE pool a/c 1204880000000075 and NSESLB pool a/c 1204880000268670 and EPI a/c 1100001100021233 with (CDSL Depository)	E-Sign
2.	Pledge / Unpledge of securities in favour of trading member (TM) / clearing member (CM) for the purpose of meeting margin requirements of the clients in connection with the trades executed by the clients on the Stock Exchange Client securities margin pledge account: 10110194 (NSDL), 1204880000268351 (CDSL) Client securities margin funding account: 10110217 (NSDL) , 1204880000268379 (CDSL). Re-pledge the same with Clearing Corporation (CC) and in favour of Client securities margin pledge account: IN001002 10009132(NSDL) and 1100001100020926 (CDSL). Client unpaid securities pledgee account 10146261(NSDL) 1204880000502136 (CDSL)	E-Sign
3.	Mutual Fund transactions being executed on Stock Exchange order entry platforms M/s. Cholamandalam Securities Limited (Clearing Member) bearing CM-BP-ID - IN565576(NSDL - NSE) , IN620031(NSDL - BSE) and CMID-M50466 with (CDSL)	E-Sign
4.	Tendering shares in open offers like BUYBACK, DELISTING, TAKEOVER etc. through Stock Exchange platforms with CMBP ID- IN553880(NSE) IN602374(BSE)	E-Sign

\* the same may be eSigned or signed physically

\* If joint DP account all the holders need to sign

**Definitions:**

In these Terms and conditions the terms shall have following meaning unless indicated otherwise:

"Depository" means Central Depository Services (India) Limited a company incorporated in India under the Companies Act 1956 and having its registered office at 17th Floor, P.J. Towers, Dalal Street, Fort, Mumbai 400001 and all its branch offices and includes its successors and assigns.

'DP' means Depository Participant of CDSL. The term covers all types of DPs who are allowed to open demat accounts for investors.

'BO' means an entity that has opened a demat account with the depository. The term covers all types of demat accounts, which can be opened with a depository as specified by the depository from time to time.

SMS means "Short Messaging Service".

"Alerts" means a customized SMS sent to the BO over the said mobile phone number.

"Service Provider" means a cellular service provider(s) with whom the depository has entered / will be entering into an arrangement for providing the SMS alerts to the BO.

"Service" means the service of providing SMS alerts to the BO on best effort basis as per these terms & conditions.

**Availability :**

The service will be provided to the BO at his/her request and at the discretion of the depository. The service will be available to those account holders who have provided their mobile numbers to the depository through their DP. The services may be discontinued for a specific period / indefinite period, with or without issuing any prior notice for the purpose of security reasons or system maintenance or for such other reasons as may be warranted. The depository may also discontinue the service at any time without giving prior notice for any reason whatsoever.

The service is currently available to the BOs who are residing in India.

The alerts will be provided to the BOs only if they remain within the range of the service provider's service area or within the range forming part of the roaming network of the service provider.

In case of joint accounts and non-individual accounts the service will be available, only to one mobile number i.e. to the mobile number as submitted at the time of registration / modification.

The BO is responsible for promptly intimating to the depository in the prescribed manner any change in mobile number, or loss of handset, on which the BO wants to receive the alerts from the depository. In case of change in mobile not intimated to the depository, the SMS alerts will continue to be sent to the last registered mobile phone number. The BO agrees to indemnify the depository for any loss or damage suffered by it on account of SMS alerts sent on such mobile number.

**Receiving Alerts :**

The depository shall send the alerts to the mobile phone number provided by the BO while registering for the service or to any such number replaced and informed by the BO from time to time. Upon such registration / change, the depository shall make every effort to update the change in mobile number within a reasonable period of time. The depository shall not be reasonable for any event of delay or loss of message in this period.

The BO acknowledge that the alerts will be received only if the mobile phone is in 'ON' and in a mode to receive the SMS. If the mobile phone is in 'Off' mode i.e. unable to receive the alerts then the BO may not get / get after delay any alerts sent during such period.

The BO also acknowledge that the readability, accuracy and timeliness of providing the service depend on many factors including the infrastructure, connectivity of the service provider. The depository shall not be responsible for any non-delivery, delayed delivery or distortion of the alert in any way whatsoever.

The BO further acknowledge that the service provided to him is an additional facility provided for his convenience and is susceptible to error, omission and / or inaccuracy. In case the BO observes any error in the information provided in the alert, the BO shall inform the depository and / or the DP immediately in writing and the depository will make best possible efforts to rectify the error as early as possible. The BO shall not hold the depository liable for any loss, damages. etc. that may be incurred / suffered by the BO on account of opting to avail SMS alerts facility.

The BO authorizes the depository to send any message such as promotional, greeting or any other message that the depository may consider appropriate, to the BO. The BO agrees to an ongoing confirmation for use of name, e-mail address and mobile number for marketing offers between CDSL and any other entity.

The BO agrees to inform the depository and DP in writing of any unauthorized debit to his BO account! unauthorized transfer of securities from his BO account, immediately, which may come to his knowledge on receiving SMS alerts. The BO may send an e-mail to CDSL at [complaints@cdslindia.com](mailto:complaints@cdslindia.com). The BO is advised not to inform the service provider about any such unauthorized debit to / transfer of securities from his BO account by sending a SMS back to the service provider as there is no reverse communication between the service provider and the depository.

The information sent as an alert on the mobile phone number shall be deemed to have been received by the BO and the depository shall not be under any obligation to confirm the authenticity of the person(s) receiving the alert.

The depository will make best efforts to provide the service. The BO cannot hold the depository liable for non-availability of the service in any manner whatsoever.

If the BO finds that the information such as mobile number etc., has been changed with out proper authorization, the BO should immediately inform the DP in writing.

**Fees :** Depository reserves the right to charge such fees from time to time as it deems fit for providing this service to the BO.

**Disclaimer:**

The depository shall make reasonable efforts to ensure that the BO's personal information is kept confidential. The depository does not warranty the confidentiality or security of the SMS alerts transmitted through a service provider. Further, the depository makes no warranty or representation of any kind in relation to the system and the network or their function or their performance or any loss or damage whenever and howsoever suffered or incurred by the BO or by any person resulting from or in connection with availing of SMS alerts facility. The Depository gives no warranty with respect to the quality of the service provided by the service provider. The Depository will not be liable for any unauthorized use or access to the information and/or SMS alert sent on the mobile phone number of the BO or for fraudulent, duplicate or erroneous use / misuse of such information by any third person.

**Liability and Indemnity :**

The depository shall not be liable for any breach of confidentiality by the service provider or by any third person due to unauthorized access to the information meant for the BO. In consideration of the depository providing the service, the BO agrees to indemnify and keep safe, harmless and indemnified the depository and its officials from any damages, claims, demands, proceedings, loss, cost, charges and expenses whatsoever which a depository may at anytime incur, sustain, suffer or be put to as a consequence of or arising out of interference with or misuse, improper or fraudulent use of the service by the BO.

**Amendments:**

The depository may amend the terms and conditions at any time with or without giving any prior notice to the BOs. Any such amendments shall be binding on the BOs who are already registered as user of this service.

**Governing Law and Jurisdiction :**

Providing the Service as outlined above shall be governed by the laws of India and will be subject to the exclusive jurisdiction of the courts in Mumbai.  
 I/We wish to avail the SMS Alerts facility provided by the depository on my/our mobile number provided in the registration form subject to the terms and conditions mentioned below. **I/We consent to CDSL providing to the service provider such information pertaining to account/transactions in my/our account as is necessary for the purpose of generating SMS Alerts by service provider, to be sent to the said mobile number.**  
 I/We have read and understood the terms and conditions mentioned above and agree to abide by them and any amendments thereto made by the depository from time to time. I/We further undertake to pay fee/charges as may be levied by the depository from time to time.  
 I/We further understand that the SMS alerts would be sent for a maximum four ISINs at a time. If more than four debits take place, the BOs would be required to take up the matter with their DP.  
 I/We am/are aware that mere acceptance of the registration form does not imply in any way that the request has been accepted by the depository for providing the service.  
 I/We provide the following information for the purpose of REGISTRATION / MODIFICATION (Please cancel out what is not applicable).

Client Signature			
	First / Sole Holder	Second Holder	Third Holder
Sign of Client			

**13. Terms and Conditions of Services**  
**CONFIRMATION OF TERMS AND CONDITIONS GOVERNING SECURITIES TRADING AND BROKING SERVICES OF CHOLAMANDALAM SECURITIES LIMITED (VOLUNTARY)**

I/we \_\_\_\_\_ ("the client") hereby state and acknowledge that I/we have read and understood the terms and conditions governing securities trading and broking services of Cholamandalam Securities Limited (" the Stock Broker"), the same appearing hereunder and I/we shall abide by the same.

**Definitions:**

- (a)"Exchange shall mean and include the Bombay Stock Exchange Limited and/or the National Stock Exchange of India Limited as the context applies;
- (b)"SEBI" shall mean and include the Securities and Exchange Board of India.

**General terms and conditions governing the service:**

1. The mere deposit of margin money by the client with the stock broker neither entitles the client nor cast the obligation on the stock broker to execute the orders placed by the client as is not guaranteed by the stock broker, since the same depends upon market fluctuations and Demands.

2. The Client agrees to pay to the stock broker brokerage and statutory levies as are prevailing from time to time and as they apply to the Client's account, transactions and to the services that stock broker renders to the Client. The stock broker agrees that it shall not charge brokerage more than the maximum brokerage permissible as per the rules, regulations and bye-laws of the relevant stock exchange/SEBI.

**The Client agrees that :**

- a) He shall not acting alone or in concert with others, directly or indirectly, hold and control excess number of permitted Futures / Options contracts as fixed from time to time by the Exchange.
  - b) He shall not exercise a long or short position where, acting alone or in concert with others, directly or indirectly he will have exercised in excess of the Number of permitted Futures / options contracts as mat be fixed from time to time by the Exchange.
4. a) The client shall within 2 working days from the date of the respective transaction pay the stock broker the moneys due in case of purchase of securities/deliver to the stock broker the securities in case of sale of securities/pay the stock broker the net amount due in case of set off of sale and purchase transactions.  
 b) The stock broker shall at their sole and exclusive discretion be entitled to close out the transaction in the event of any breach/default by the client of all/any of the terms and conditions of this agreement. The time and the manner of the closing out is at the exclusive discretion of the stock broker and accordingly the stock broker shall not be liable for any loss and damage caused to the client in this regard. Further, the client shall be liable to pay interest calculated at the rate of 2% p.m. on all amounts remaining unpaid to the stock broker, for the period commencing from the date on which such payment becomes due to the date of its actual remittance.  
 c) The client hereby authorise the stock broker at its discretion, should the stock broker deem it necessary for its protection to buy, sell or close out any part or all of the derivative contacts held in clients' account with the stock broker. Any or all such incidental expenses incurred by the stock broker will be reimbursed by the client.
5. The stock broker is hereby authorised to hold deliveries of shares purchased by the client and sold in the immediately succeeding settlement, so that the delivery obligation on client sale shall be conveniently met.
6. The stock broker shall not be liable for any loss or damage caused to the client consequent to the sale/purchase effected by the stock broker in pursuance to the instructions of the client as the same is solely and exclusively attributed to the judgement of the client, as the stock broker is only the agent of the client, while executing the orders of the client. Further the stock broker is not liable for any loss/damage caused to the client due to force majeure including but not limited to Acts of God, fire, flood, earthquake, lighting failure, mechanical equipment defects or failure/disruption of power supply, software defects or failure and cancellation of trades by the Exchange.
7. The client does hereby acknowledge that the stock broker is merely executing trading orders given by the client; the stock broker does not render any advisory services relating to buying or selling of securities. The employees of the stock broker have not been authorized to give any such advise and the stock broker is not bound by nor liable for any loss or damage caused to the client by any such advise given by any of the employee of the stock broker.
8. All trades, transactions and contracts are subject to the Rules and Regulations of the Exchange.
9. It is mutually agreed that each and every clause under this agreement can be separated from the rest of the clauses and be read in isolation and accordingly if any of the terms and conditions contained in this agreement providing to be invalid and legally unenforceable, the same can be separated from the rest of agreement and the remaining clauses under this agreement shall be binding on the parties herein.
10. Any notice or letter issued by either of the parties herein to the other party to the address specified herein above or to any other address duly intimated in writing either by Ordinary Post/Certificate of posting/RPAD shall be deemed to have been served on the addressee on expiry of 10 days from the date of the notice.
11. It is mutually agreed that any dispute/difference of opinion that might be arising between the parties herein whether as to the determination of their respective rights and obligations under this agreement and/or to the interpretation of the terms and conditions contained herein, either during the subsistence of this agreement or thereafter, shall be settled by the parties herein by way of referring such dispute only to the arbitration in accordance with the Rules, Regulations and bye-laws of the Stock Exchange. In matters where

the Exchange is a party to the dispute, the Civil Courts at Mumbai shall have exclusive jurisdiction and in all other matters, proper courts within the area covered under the Regional Arbitration Centre shall have jurisdiction in respect of the arbitration proceedings falling under or conducted in that Regional Arbitration Centre.

Client Signature			
	First / Sole Holder	Second Holder	Third Holder
Sign of Client			

#### 14. RUNNING ACCOUNT AUTHORISATION (VOLUNTARY)

Date:  
To,  
M/s. Cholamandalam Securities Limited  
"Dare House" Extn., 4th floor, No.2 N.S.C. Bose Road  
Chennai – 600001

Dear Sir(s)

I/We are dealing through you as a client in Capital Market and/or Future & Option segment and in order to facilitate ease of operations and up front requirement of margin for trade. I/We authorize you as under:

I/We request you to maintain running balance in my account & retain the credit balance in any of my/our account and to use the unused funds towards my/our margin/pay-in/other future obligation(s) at any segment(s) of any or all the Exchange(s)/Clearing corporation unless I/we instruct you otherwise.

I/We request you to settle my fund once in a calendar \_\_\_\_\_. In case, the client has not filled the settlement period the funds will be settled on Quarterly basis or such other higher period as allowed by SEBI/Stock Exchange time to time except the funds given towards collaterals/margin in form of Bank Guarantee and/or Fixed Deposit Receipt. In case I/We have an outstanding obligation on the settlement date, you may retain the requisite funds towards such obligations and may also retain the funds expected to be required to meet margin obligations for next 5 trading days, calculated in the manner specified by the exchanges.

I/We confirm you that I will bring to your notice any dispute arising from the statement of account or settlement so made in writing within 7 working days from the date of receipt of funds or statement of account or statement related to it, as the case may be at your registered office. After that I/We shall have no right to dispute the transaction, funds ever and agree that you shall not be liable for any incidental loss/damage caused due to retention of funds.

I/We confirm you that I can revoke the above mentioned authority anytime in writing to you.

Thanking you  
Yours faithfully,

Signature of Client

#### 15. AUTHORISATION FOR ELECTRONIC CONTRACT NOTE / STATEMENTS (VOLUNTARY)

To,  
M/S Cholamandalam Securities Limited  
'Dare House", No.2 N.S.C. Bose Road  
Chennai – 600001

Dear Sir,

**Subject : Request Letter For Availing Of The Electronic Contract Note (ECN) Facility**

I / We affirm that I / We are a party to the Member-Client Agreement with Cholamandalam Securities Limited herein after called CSEC. The Client Code allotted to me /us is No CSEC has offered and / or proposed to offer the issuance of ECN in a digitally signed format. I / We are desirous of availing this facility and in furtherance of the same, hereby grant my / our consent and affirmation to CSEC to issue and send ECN to my / our email address \_\_\_\_\_

**I/We acknowledge and grant my / our consent to CSEC for providing the ECN facility on the following terms and conditions:**

I / We acknowledge and agree that non-receipt of bounced mail notification by CSEC shall amount to delivery of the contract note at my / our above registered email address.

I / We confirm and agree that I / We will acknowledge the contract note so issued / sent via electronic communication to my / our above email address without changing the subject line within 48 hours of the receipt of the ECN. In case I / We do not / does not acknowledge the ECN by sending a reply mail within the stipulated time provided by CSEC, the same shall be deemed to have been acknowledged.

I / We undertake that it shall be my / our sole responsibility to verify that the ECNs contain details of order number, order time, trade date, trade number, trade time, trade price, trade quantity, name of scrip, client code and display the brokerage charges separately. In case there is any discrepancy in the ECN, I / We shall inform CSEC of the same within 48 hours of the receipt of the ECN by replying to the email [customercarewm@chola.murugappa.com](mailto:customercarewm@chola.murugappa.com) or Customer Care at the above mentioned address. In case I / We do not/does not inform and get the discrepancies corrected the same shall be deemed to have been accepted and will be binding upon me / us. However, CSEC in its absolute discretion, reserves the right to determine the validity of any objection to the transaction, even though the objection is received after the expiry of stipulated time.

I / We acknowledge that in case the ECN has not been delivered to me/us or has been rejected (bouncing of mails) by the email address, CSEC shall send a physical contract note within working hours of the bouncing of the mail. However, the non-receipt of the bounced mail notification by CSEC shall amount to delivery of the contract note.

I / We affirm that CSEC shall not be responsible for the non-receipt of the ECN due to any change in my / our email address and if the same is not intimated by me/us to CSEC and that CSEC shall not take cognizance of out-of-office / out-of-station auto replies and I / We shall be deemed to have received such ECN.

I / We hereby affirm that CSEC do not / does not require and shall not send hard copies of the **Contract Notes** at my / our designated address.

I/We hereby agree that any change in e mail ID shall be communicated by me/us through a physical letter to CSEC.

I / We declare and acknowledge my / our consent to abide by the above terms and conditions for availing ECN facilities.

<b>Signature of Client</b>
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**16. LETTER OF AUTHORITY (VOLUNTARY)**

Date:  
To,  
Cholamandalam Securities Limited  
No, 2 NSC Bose Road  
4th Floor Dare House Extension.  
Parrys, Chennai - 600001.

Dear Sir(s)

Subject : Letter of Authority – CASH/F&O/Currency Derivatives of BSE/NSE

I shall be dealing in securities with you at BSE Limited(Bombay Stock Exchange) / National Stock Exchange of India Limited(NSE) in cash, F&O, Currency Derivatives segment and in order to facilitate ease of operations, I authorize you as under :

I authorise you to setoff outstanding in any of our accounts against credits available or arising in any other accounts maintained with you irrespective of the fact that such credits in the accounts may pertain to transactions in any segment of the Exchange or in any other exchanges and/or against the value of cash margin or collateral shares provided to you by me.

I hereby authorise you not to provide me Order Confirmation / Modification / Cancellation Slips and Trade Confirmation Slips to avoid unnecessary paper work. I shall get the required details from contract notes issued by you.

I hereby authorise you to keep all the securities which we give you in margin including the payout of securities received by me for meeting margin / other obligation in stock exchange or for giving the same as margin to the Stock Exchange or otherwise.

I request you to retain credit balance in any of my account and to use the idle funds towards my margin/future obligations of Exchange unless I instruct you otherwise. I also authorize you to debit the necessary demat charge from time to time, for keeping the shares in your client unpaid securities account on my behalf. I also authorise you to debit the financial charges upto@ 2% p.m. for the debit balances if any, in my account and not settled as per the exchange requirements.

I request you to consider my telephonic instructions for order placing/ order modification/ order cancellation as a written instruction and give me all the confirmation on telephone unless instructed otherwise in writing. I am getting required details from contract note issued by you.

Trading of all Exchanges is in Electronic Mode, based on VSAT, leased line, ISDN, Modem and VPN, combination of technologies and computer systems to place and route orders. I understand that there exists a possibility of communication failure or system problems or slow or delayed response from system or trading halt, of any such other problem/glitch whereby not being able to establish access to the trading system/ network, which may be beyond your control and may result in delay in processing or not processing buy or sell Orders either in part or in full. I agree that I shall be fully liable and responsible for any such problems.

I am agreeable for inter-settlement transfer of securities towards settlements.

I am agreeable for & authorise you to with hold funds pay-out towards all the applicable margins and debits.

All fines/penalties and charges levied upon you due to my acts/deeds or transactions may be recovered by you from my account.

I hereby authorize you to send me SMS alerts on my mobile number for Trade confirmations, research calls, investment ideas or any other information etc. including all commercial communication even though I am registered or may register with National Do Not Call Registry established under the Telecom Unsolicited Commercial Communications Regulations, 2007 or registered or may register under the National Customer Preference Register established under new regulation viz the Telecom Commercial Communications Customer Preference Regulations, 2010.

I have a Trading as well as depository relationship with Cholamandalam Securities Ltd. Please debit the charges relevant with depository services from my trading account. I also agree to maintain the adequate balance in my trading account / pay adequate advance fee for the said reasons.

I hereby confirm that I have read & understood the provisions of Prevention of Money Laundering Act and will comply with these provisions.

I confirm you that I can revoke the above mentioned authority anytime by giving notice in writing to you.

Thank you,  
Yours faithfully,

<b>Signature of Client</b>
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**17. Request for updation of same mobile number / email id**

<b>Client Name</b>
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<b>Mobile No</b>
------------------

<b>Email Id</b>
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I hereby declare that the aforesaid mobile number belongs to : Self

I hereby declare that the aforesaid E-mail ID belongs to : Self

I/We confirm that I can revoke above stated facility any time in writing to you or request through registered E-Mail.

<b>Signature of Client</b>
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18. OPTION FOR ISSUANCE OF DIS BOOKLET ALONG WITH ACCOUNT OPENING

**To be filled by persons seeking to open a depository account who have given POA Power of Attorney/ DDPI (Demat Debit and Pledge Instruction)(Physical format) to operate the depository account to a stock broker and do not intend to open a Basic Services Demat Account**

<b>Client Name</b>	
<b>Date</b>	
<b>Pan</b>	
<b>I/We wish to receive the Delivery Instruction Slip (DIS) booklet with account opening.</b>	
<b>Signature of Client</b>	

19. Contract note and other documents through e-mail & information through sms (VOLUNTARY)

\*I/We confirm that my/our registered Mobile No is: \_\_\_\_\_ and E-Mail Id is \_\_\_\_\_ I / We acknowledge and agree that non-receipt of bounced mail notification or SMS by CSEC shall amount to delivery of the ECN, DEM, and SMS services at my / our registered contact details.

I / We affirm that CSEC shall not be responsible for the non-receipt of the ECN, DEM, SMS services due to any change in my / our email address and mobile number and if the same is not intimated by me/us to CSEC and that CSEC shall not take cognizance of out-of-office / out-of-station auto replies and I / We shall be deemed to have received such ECN, DEM, SMS & Other voluntary services stated above.

I / We hereby affirm that CSEC do not / does not require and shall not send hard copies of the Contract Notes & Other Statements at my / our designated address. I/We hereby agree that any change in e mail ID revocation ECN, DEM & SMS request shall be communicated by me/us through a physical letter or through Registered E-Mail to CSEC.

I / We undertake that it shall be my / our sole responsibility to verify the contents of the ECN, DEM & SMS services. If there is any discrepancy, I / We shall inform CSEC of the same within 48 hours of the receipt of the ECN, DEM & SMS by replying to the email customercarewm@chola.murugappa.com.

I / We confirm and agree that I / We will acknowledge the ECN, DEM within 48 hours of the receipt. In case I / We do not / does not acknowledge the ECN, DEM by sending a reply mail within the stipulated time provided by CSEC, the same shall be deemed to have been acknowledged.

I/We confirm that I can revoke above stated facility any time in writing to you or request through registered E-Mail

<b>Signature of Client</b>	
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20. Trade confirmation (VOLUNTARY)

1. I hereby confirm that I do not wish to receive trade confirmations from your office through phone with regard to all my transactions put through Cholamandalam Securities Limited with immediate effect.

2. I further confirm that sending physical contract notes/electronic contract notes to my registered address/e-mail address would suffice.

3. The above instruction shall be valid until further written advice from the undersigned.

<b>Signature of Client</b>	
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21. Consent letter for sharing information and sms alerts (VOLUNTARY)

a. I/We hereby agree and consent for the disclosure by the Member to any person or entity including but not limited to any independent third parties or any entities of the Member Group, whether within or outside India, of any information and data relating to me/us or relating to my/our trading account with Member for the purposes of or in connection with, any present or proposed initiatives, including but not limited to any marketing or cross sell initiatives, business proposals, activities, facilities or services availed, by me/us in future.

b. I/We agree and permit the Member to provide intimations and communications, as its own discretion, including but not limited to outstanding debit recovery intimations, trade confirmations, margin and maintenance calls, (collectively "alerts") through the SMS alert facility on the mobile phone number which belongs to me/us as provided in the Account Opening Form or communicated otherwise. The information sent as an alert on the mobile phone number shall be deemed to have been received by me/us and the Member shall not be under any obligation to confirm the authenticity of the person(s) receiving the alert. The Member will make best efforts to provide the service and I/We would not hold the Member liable for non - availability o the service in any manner whatsoever.

c. I/we confirm you that I can revoke the above mentioned consent anytime in writing to you.

<b>Signature of Client</b>	
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## 22. Mutual fund service system (MFSS) facility/ BSE Star MF Facility (VOLUNTARY)

I/We \_\_\_\_\_ am/are registered as your client with Client Code No. and have executed the Trading Member and Client Agreement for the purpose of trading in the Capital Market segment of National Stock Exchange of India Ltd & Bombay Stock Exchange Ltd. (Exchange).

I/We am/are interested in availing the MF facility of the Exchange for the purpose of dealing in the units of Mutual Funds Schemes permitted to be dealt with on the Exchange platform.

For the purpose of availing the MF facility, I/we state that Know Your Client details as submitted by me/us for the stock broking may be considered for the purpose of MF of NSE/ BSE and I/we further confirm that the details contained in same remain unchanged as on date.

I/We are willing to abide by the terms and conditions as mentioned in the Circular dated December 2, 2009 and as may be specified by the Exchange from time to time in this regard.

I/We shall ensure also compliance with the requirements as may be specified from time to time by Securities and Exchange Board of India and Association of Mutual Funds of India (AMFI).

I/We shall read and understand the contents of the of the Scheme Information Document and Key Information Memorandum, addenda issued regarding each Mutual Fund Schemes with respect to which

I/we choose to subscribe/redeem. I/We further agree to abide by the terms and conditions, rules and regulations of the Mutual Fund Schemes.

I/We therefore request you to register me/us as your client for participating in the MF of the NSE/ BSE

<b>Signature of Client</b>	
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## 23. Client Defaulter Declaration (VOLUNTARY)

I/we do hereby declare that I have not been involved in any terrorist activity and I have not been declared as defaulter or my name is not appearing in defaulter database as per SEBI/ Various Exchanges/ Regulatory bodies/CIBIL(Credit Information Bureau of India Ltd.) etc.

I further declare that the above mentioned declaration/statement is true and correct.

<b>Signature of Client</b>	
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## 24. Voluntary Authorisation Letter

Date

To,  
M/S Cholamandalam Securities Limited "Dare House" Extn.,  
4th floor, No.2 N.S.C. Bose Road Chennai – 600001

Dear Sir / Madam,

I/We \_\_\_\_\_

I/WE CONFIRM TO RECEIVE THE FOLLOWING DOCUMENTS BY WAY OF A SEPARATE BOOKLET / Email attachment / downloaded form CSEC website

- a. Rights & Obligations
- b. Rights & Obligations of the Beneficial Owner and Depository Participant
- c. Rights & Obligation of SLB segment
- d. Terms and conditions for Margin Trading Facility
- e. Risk Disclosure Document
- f. Guidance Note
- g. Policies and Procedures
- h. Additional Terms & Conditions of Services
- i. Terms and Conditions-Cum-Registration / Modification Form for receiving SMS alerts from CDSL

I / We declare and acknowledge that I/we have received and read the Rights and Obligations document policies and procedures & standard documents and the terms and conditions for availing above stated voluntary services and agree to abide by and be bound by the same and by the Bye Laws as are in force from time to time. I / We declare that the particulars given by me / us are true to the best of my knowledge as on the date of making this application. I / We agree and undertake to intimate the CSEC any changes(s) in the details / Particulars mentioned by me / us in the annexure.

I / We further agree that any false / misleading information given by me / us or suppression of any material information will render my account liable for termination and suitable action.

I/We further declare and acknowledge that I have read and understood the policies and procedures & standard documents; I understand that is also displayed for Information on designated website of CSEC and shall abide by the same.

I / We declare that In case non-resident account, I / We also declare that I have complied and will continue to comply with FEMA regulations.

I/We hereby declare that the details furnished under FATCA are true and correct to the best of my/our knowledge and belief. In case of any change in the given status on a future date, I/We undertake to inform CSEC the same within 30 days. I/We agree that as may be required by domestic regulators/tax authorities, CSEC may also be required to report, reportable details to CBDT/regulatory authority and comply with its direction.

I / We willingly give my consent to CSEC to accept copy of my Aadhaar card as Address proof and proceed with the application.

Client Signature			
	First / Sole Holder	Second Holder	Third Holder
Sign of Client			
Date:	Place:		

## 25. TERMS AND CONDITIONS FOR AVAILING MARGIN TRADING FACILITY (MTF)

Cholamandalam Securities Ltd (CSEC) is eligible to provide Margin Trading Facility (MTF) in accordance with SEBI & Exchange Guidelines as specified from time to time.

The terms and conditions prescribed hereunder form part of account opening form, shall be read in conjunction with the rights and obligations as prescribed under SEBI circular no. CIR/ MIRSD/ 16/ 2011 dated August 22, 2011 and the Rules, Regulations, Bye laws, Rights and Obligation, Guidelines, circulars issued by SEBI and Exchanges from time to time.

### A) The Client undertakes, authorizes, confirms and agrees to/that:

1. Avail MTF in accordance with the terms and conditions of MTF offered by Cholamandalam Securities Limited (CSEC).
2. Give consent to the Terms and Conditions herein through online mode by logging-in on the website of CSEC in a secured manner or by physical mode.
3. CSEC at all times shall have the liberty to exercise its right in its sole discretion to determine the extent to which the MTF exposure to be made to the Client.
4. Pay interest at the rate agreed under the voluntary terms and conditions at the time of opening the client's account and/or modified and communicated from time to time by CSEC.
5. If the transaction is under MTF, there will not be any further confirmation that it is margin trading transaction other than contract note.
6. CSEC shall not be bound to grant MTF to the Client (which decision shall be at the sole and exclusive discretion of CSEC) and CSEC shall not be required to provide any reasons thereof nor shall CSEC be liable for any damages (whether direct or consequential or whether financial or non-financial) to the Client by reason of CSEC refusal to grant MTF to the Client.
7. Client includes Individual, Company, Partnership firm, Trust, Hindu Undivided Family, Association of Person and Body of Individuals etc.
8. The dues, wherever mentioned herein, includes but not limited to outstanding balances, interest, statutory taxes, duties, charges, penalties etc. in respect of MTF availed by the Client.
9. The terms / conditions / Obligations of the Client as amended from time to time shall be irrevocable and shall not be revoked by the death/dissolution/ winding up of the Client.
10. Accept all types of communications including order / trade confirmation, revision in margin, margin calls / decision to liquidate the position / security / collateral, Margin statements, margin policies on haircuts / VAR margin, Risk management policies, Rights & obligations, allowable exposure, specific stock exposure etc. via E-mail or SMS from the email id / mobile number of the Client registered with CSEC or by way of logging-in on website of CSEC in a secured manner or physical mode, based on client's preference.
11. The MTF shall be provided only in respect of Shares permitted by the SEBI/ Exchanges / CSEC from time to time.
12. Equity Shares that are classified as 'Group I Security' by SEBI only shall be eligible for MTF. CSEC, at its discretion, may not provide funding under MTF to certain equity shares though classified to be "Group I Security" by SEBI. Equity shares shortlisted by CSEC for funding (Approved List) shall be as per CSEC Policy and approved list will be provided in case on request of Client.
13. CSEC to retain and / or pledge the securities and its corporate benefits, if any, with CSEC utilized for availing MTF till the amount due in respect of the said transaction including the dues to CSEC is paid in full by the Client.
14. CSEC to hold and / or to appropriate the credit lying in the Client account and/or any unutilized/ unpledged shares/ securities lying in demat account along with all other demat accounts / Mutual Funds / IPO account of the Client towards the repayment of the outstanding dues thereof under MTF.
15. In case of shortfall, CSEC shall treat the CSEC approved unencumbered securities available in demat account/s linked to the trading account of the Client as margin towards the MTF availed.
16. Ensure required margin is maintained for MTF at all point of time as specified by CSEC from time to time.
17. CSEC at its sole and absolute discretion may increase / revise the limit of initial margin and maintenance margin, minimum transaction amount from time to time, subject to the availability of adequate collaterals after applicable haircuts or any other SEBI / Exchange / CSEC requirements in this respect. No further exposure shall be granted on the increased value of CSEC funded stocks. The Client shall abide by such revision, and where there is an upward revision of such margin amount, the client agrees to make up the revised margin immediately, not later than 5 working days from the day of margin call, failing which CSEC may exercise its discretion / right to liquidate the security / collateral and / or close out the position immediately.
18. CSEC shall make necessary margin calls, in case of shortfall and funded stocks not pledged.
19. Make good deficient margin / margin call by placing the further margin immediately, failing which CSEC may exercise its discretion / right to liquidate the security / collateral and / or close out the position immediately depending upon the market conditions and / or the volatility.
20. CSEC may, in its sole discretion, determine the time of sell / Securities to be liquidated.
21. All losses and financial charges on account of such liquidation/closing out shall be charged to and borne by the client.
22. CSEC may immediately without any notice liquidate the security / collateral and or close out the position in the happening of the following events:
  - if any instrument for payment of Margin Money / Monies is / are dishonoured;
  - failure to pay interest at the rate agreed under the voluntary terms and conditions at the time of opening the client's account and/or modified and communicated from time to time by CSEC.
  - if the Client violates/breach any provision of this Arrangement or provides any incorrect or misleading information;
  - if the Client has voluntarily or compulsorily become the subject of any proceedings under any bankruptcy or insolvency law or winding up or liquidation proceedings or has a receiver or liquidator appointed in respect of itself or its assets or makes an application or refers itself to any authority for being declared as a "sick company", relief undertaking, bankrupt or insolvent or seeking financial reconstruction or any other like scheme (by whatever name called) or is dissolved or there is a change in the constitution whether on account of the admission of a new partner or the retirement, death or insolvency of any partner or otherwise;\
  - the death, lunacy or other disability of the Client;
  - if there is reasonable apprehension that the Client is unable to pay its outstanding dues or has admitted its inability to pay its dues, as they become payable;
  - if the Client is convicted under any criminal law in force;
  - if any Asset or any Security is seized or made subject to any distress, execution, attachment, injunction or other process order or proceeding or is detained or taken into custody for any reason;
  - default under any other arrangement or facility with any Stock Broker is made by the Client.
  - there exists any other circumstance, which in the sole opinion of CSEC, is prejudicial to the interests of CSEC;
  - Order passed by any regulatory, courts, statutory bodies etc.

23. The MTF facility may be withdrawn by CSEC, in the event of client committing any breach of any terms or conditions herein or at any time after due intimation to the client allowing such time to liquidate the MTF position as agreed herein, without assigning any reason.
24. In the event of termination of this arrangement, the client shall forthwith settle the dues of CSEC. CSEC shall be entitled to immediately adjust the Margin Amount against the dues of the client, and the client hereby authorizes CSEC to make such adjustment. After such adjustment, if any further amount is due from the client to CSEC, the client shall settle the same forthwith. Upon full settlement of all the dues of the client to CSEC, CSEC shall release the balance amount to the client.
25. CSEC may release/ unpledged / transfer the securities utilized for MTF within 5 working days from the date of clearing the dues to CSEC on receipt of request from the client.
26. Lodge protest or disagreement with any transaction done under MTF within 24 hours from the date of receipt of such document / statements / contract notes/ any other communications.
27. Close / terminate the MTF at any time after clearing the dues of CSEC.
28. Any dispute arising between the client and CSEC in connection with the MTF, shall be referred to the investor grievance redressal mechanism, arbitration mechanism of the respective stock exchange"
29. In case the securities to be deposited and / or purchased for availing MTF belong to the promoter / promoter group, the client shall intimate CSEC before such deposit and or purchase, else the same shall be treated as non-promoter holding."
30. The terms and conditions and amendments made by CSEC from time to time is available on company's website [www.cholawealthdirect.com](http://www.cholawealthdirect.com)

**B) CSEC undertakes, authorizes, confirms and agrees to/that:**

1. Client shall be free to take the delivery of the securities at any time by repaying all dues.
2. CSEC at its discretion shall allow the Client to change the securities collateral offered for MTF at any time so long as the securities so offered are approved for margin trading facility.
3. If there is no transaction for 90 days in a Margin Trading Account and no outstanding dues are pending from client then the account shall be settled immediately.
4. CSEC shall monitor and review on a continuous basis the client's positions with regard to MTF.
5. CSEC may grant further exposure to the client subject to the applicable haircut, in case of increase in value of MTF collateral securities.
6. CSEC when makes a 'margin call' to the client, shall clearly indicate the additional / deficient margin to be made good.
7. Whenever securities are liquidated by CSEC, the contract note issued for such margin call related transactions shall carry an asterisk or identifier that the transaction has arisen out of margin call.
8. The daily margin statements sent by CSEC to the client shall identify the margin/collateral for Margin Trading separately.
9. The stocks deposited as collateral with CSEC for availing MTF (Collaterals) and the stocks purchased under the MTF (Funded stocks) shall be identifiable separately and there shall not be any commingling for the purpose of computing funding amount.
10. CSEC shall close/terminate the account of the client forthwith upon receipt of request from the client subject to the condition that the client has paid the dues under MTF.
11. The margin trading arrangement between CSEC and the client shall be terminated; if the Stock Exchange, for any reason, withdraws the MTF provided to CSEC or CSEC surrenders the facility or CSEC ceases to be a member of the stock exchange.
12. The Client may opt to terminate the MTF in the event of CSEC committing any breach of any terms or conditions herein or for any other reason.
13. If the client opts to terminate the MTF, CSEC shall return to the client all the collaterals provided and funded securities retained forthwith, but not later than 5 working days from the date of termination, on payment of all the dues by the client.
14. CSEC shall not use the funds of one client to provide MTF to another client, even if the same is authorized by the first client.
15. Collateral and funded stocks shall be marked to market on a daily basis.

<b>Signature of Client</b>	
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**26. Client Declaration**

I hereby declare that I have given my consent for undertaking online KYC process to open Trading / Demat account with Cholamandalam Securities Ltd. Further, I authorize Cholamandalam Securities Ltd and its authorised representatives to contact me or send promotional communication though my contact number is registered under National Do Not Call Registry.

I hereby confirm that I have read and understood the contents of the form including declarations, authorization and have also verified the information in the form. I hereby confirm that I have digitally signed the form including declarations, authorizations and copy of documents at all places with inscription

Digitally Signed by:

I/We agree that registration granted by SEBI and certification from NISM in no way guarantee performance of the Intermediary or provide any assurance of returns to investors

<b>Signature of Client</b>	
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POI image

## Proof of Address

**Signature :**

## Bank Account Proof